

# Secretary of State Records Management Newsletter

FEBRUARY 25, 2019 VOLUME 3, NUMBER 1

AVERY	HARPER	OREN	VAUGHN
BRUCE	INDRA	PETRA	WESLEY
CARTER	JAYDEN	QUIANA	XYLER
DIEGO	KAI	RYAN	YVETTE
EBONI	LUCIAN	SCOTT	ZACHARY
FISHER	MAYA	TAYLOR	
GIA	NADIA	ULMER	

Congratulations fellow Nebraskans!! We made it through Winter storm Quiana!! Let's hope that we don't have to meet Zachary, Yvette, Xyler, Wesley, or Vaughn.

#### **Document Scanning and Document Imaging**

Document scanning and document imaging are similar in that they both describe procedures to convert paper documents to digital form. Typically, the staff at Records Management begins by collecting agency documents to be scanned. After removing staples and paper clips, staff scans every document, converting it to JPEG, PDF, TIFF, or whatever format is most convenient to the agency.

Records Management can also OCR (Optical Character Recognition) the documents, which translates hard-copy documents into editable formats. In addition to being able to digitally manipulate and store documents that were previously only available in physical form, OCR also allows digital documents to be searched by key terms.

Indexing, quality control, storage, and shredding are the last steps in the document scanning process.

What does this do for your agency?

- 1. With electronic backups of your most important files, it is easier to get back online following an emergency. This is an important aspect in your disaster recovery plans.
- 2. Going paperless is a cost savings to agencies as they reduce the amount of ink and paper required by agencies.
  For example, consider your employee handbook. By simply having this available in digital format, updating and sending out to all employees in your agency becomes a much simpler and more cost effective manner to distribute this valuable document.
- 3. Having digital copies of documents saves staff time and effort in finding hard copy documents, scanning and sending out for requests.



#### **Conversion Services**

NEW: We now have the ability to digitize color slides! Please call us if you would like more information on this process.

Contact us at the Records Center to discuss options to digitize records from past, present or to discuss future plans to store items electronically.

The Records Management division is able to convert paper to electronic images, microform (microfilm, microfiche) to electronic, paper to microfilm, electronic image to microfilm all at low costs to your agency. We have high-speed conversion capability and can export images/data across the network into your current imaging system: OnBase, PaperVision, PDF, or TIFF.

We also offer daily pickup of records to be converted from Lincoln area offices and strict confidentiality by trained and professional staff. Let our staff help you by providing fast, friendly, and free safe transport of local materials to the Records Center.

#### **Boxes**

The State Records Center has limited supply of the sturdy brown boxes for storage. New brown boxes that are double sided on the long side and triple thick on the short side are available for \$1.50. We have a few used boxes that we can supply to agencies for free. Email the state Records Center at: sos.recordscenter@nebraska.gov for more information.

#### **News Alert**

We have a new link on our website under the records management tab. On this link, you will find our previous trainings, newsletters, and forms that are pertinent to records officers.

Included in this link is a Welcome to Records Management training that I would encourage all records officers to have agency staff complete.

# **New Faces**

Drew Bruhl has been hired to join our warehouse team and will be coming around to meet everyone and learn our pickup and delivery services.

Please help us welcome Drew to Records Management and please be patient as we endeavor to give you our best customer service possible!



# Secretary of State Records Management Newsletter

APRIL 2, 2019 VOLUME 3, NUMBER 2

In response to recent flooding events, here is a summary of published information to work with documents that may have been affected. We have received several calls by different agencies, so wanted to have a resource in one document that may help answer some questions.

# **Disaster Recovery:**

Safety First – DO NOT REENTER THE BUILDING until declared safe by security or emergency management officials.

## Stabilize the building and environment:

Reduce temperature and relative humidity at once to prevent mold outbreak. Ideal targets are less than 70 F/ 45% RH.

- a) If warm outside, use coldest air conditioning setting, if possible: cover broken windows with plastic.
- b) In cool, low humidity weather- open windows, use circulating fans.
- c) Do not turn on heat unless required for human comfort.
- d) Remove standing water and empty items containing water; remove wet carpets and furnishings.

#### **Documentation:**

- a) Once it is safe to enter the building, make a preliminary tour of all affected area. Wear protective clothing.
- b) Do not move objects or collections without documenting their condition.
- c) Photograph or videotape conditions of structure and contents. Make sure images clearly record damage.

#### **Photographs**

- a) Remove from plastic/paper enclosures or frames. Save all information about the photos.
- b) Carefully rinse with cool, clean water as necessary.
- c) Do not touch or blot surfaces.
- d) Air Dry: hang with clips on non-image surfaces or lay flat on absorbent paper. Keep photographs from contact with adjacent surfaces or each other.
- e) If there are too many for immediate attention, either:

- i. Keep photos (except Historic photos) in a container of clean water no more than 48 hours. Air dry.
- ii. Freeze. If possible, interleave each photos with freezer or waxed paper. If historic photos cannot be air-dried immediately, interleave and freeze.
- f) Do not freeze glass plate negatives.

#### **BOOKS AND PAPER**

#### Books:

- a) If rinsing is necessary, hold book closed.
- b) Partially wet or damp: stand on top or bottom edge with covers opened to 90-degree angle; air dry.
- c) Very wet: lay flat on clean surface; interleave less than 20% of book with absorbent materials; replace interleaving when damp.
- d) If too many books to air dry in 48 hours:
  - Wrap in freezer or waxed paper.
  - ii. Pack spine down in sturdy containers.
  - iii. Freeze.

# Paper:

- a) Air dry flat as individual sheet or small piles up to ½". Interleave; replace interleaving when damp.
- b) Do not unfold or separate individual, wet sheets.
- c) If too many items for air drying:
  - i) Interleave (by groups or individually) with freezer or waxed paper.
  - ii) Pack papers or files standing up and supported in sturdy containers; pack containers only 90 % full.
  - iii) Freeze.

#### Additional Resources if needed:

### Freezer/Cold Storage Services:

Universal Cold Storage 402-474-9500 1601 Pioneer Blvd Direct 402-419-2161 Lincoln NE 68502 Cell 402-304-0076

#### **Recovery Services**

NCRI- National Catastrophe Restoration 316-636-5700 8447 E 35<sup>th</sup> St N 800-598-6274 Wichita KS 67226

### **Document Preservation Consulting**

Ford Conservation Center 402-595-1180 1326 S 332<sup>nd</sup> St Omaha NE 68105

#### **Professional Consultants**

NARA – National Archives at Kansas City 816-268-8000 400 West Pershing Rd Kansas City MO 64108

# Records Management Division - Secretary of State of Nebraska

General Phone – 402-471-2550

Jeanette Greer – Records Management Division Manager – 402-471-2747 Austin Rhodes – RIM Specialist/DISC Supervisor – 402-471-4184

While some records will disappear because of flooding, others can be saved. The Secretary of State Records Management has knowledgeable staff to help people identify what can be saved.

However, resources may be lost if assessment and restorative action are not taken immediately. These situations may seem discouraging at first, but with careful planning and attention, records management can be achieved.

The following link will take you to the referenced conservation help from NARA which will lead to the Minnesota National Historical society with helpful tips on preservation of documents.

## http://www.mnhs.org/preserve/conservation/emergency.php

Please also feel free to discuss with us the possibility of digitizing some documents that may have survived the flooding or that may become recoverable using freeze drying. If records are unrecoverable and lost due to flooding, please ensure that a disposition report is filled out and submitted to the Secretary of State's office. Forms are available on the Secretary of State's website:

http://www.sos.ne.gov/records-management/pdf/records-disposition-report.pdf

#### **Document Scanning and Document Imaging**

Document scanning and document imaging are similar in that they both describe procedures to convert paper documents to digital form. Typically, the staff at Records Management begins by collecting agency documents to be scanned. After removing staples and paper clips, staff scans every document, converting it to JPEG, PDF, TIFF, or whatever format is most convenient to the agency.

Records Management can also OCR (Optical Character Recognition) the documents, which translates hard-copy documents into editable formats. In addition to being able to digitally manipulate and store documents that were previously only available in physical form, OCR also allows digital documents to be searched by key terms.

Indexing, quality control, storage, and shredding are the last steps in the document scanning process.

What does this do for your agency?

- With electronic backups of your most important files, it is easier to get back online following an emergency. This is an important aspect in your disaster recovery plans.
- Going paperless is a cost savings to agencies as they reduce the amount of ink and paper required by agencies.
   For example, consider your employee handbook. By simply having this available in digital format, updating and sending out to all employees in your agency becomes a much simpler and more cost effective manner to distribute this valuable document.
- 3. Having digital copies of documents saves staff time and effort in finding hard copy documents, scanning and sending out for requests.

#### **Conversion Services**

Contact us at the Records Center to discuss options to digitize records from past, present or to discuss future plans to store items electronically.

The Records Management division is able to convert paper to electronic images, microform (microfilm, microfiche) to electronic, paper to microfilm, electronic image to microfilm, black and white or color slides to digital all at low costs to your agency. We have high-speed conversion capability and can export images/data across the network into your current imaging system: OnBase, PaperVision, PDF, or TIFF.

We also offer daily pickup of records to be converted from Lincoln area offices and strict confidentiality by trained and professional staff. Let our staff help you by providing fast, friendly, and free safe transport of local materials to the Records Center.

# **Micrographic Equipment**

As a reminder to all state agencies, under Statute 84-1222:

Purchase of microfilm system or equipment; approval; property of administrator.

No state agency shall purchase any microfilm system or equipment prior to the approval of the administrator. The administrator shall not approve internal microfilm activities of any state agency unless such activities may not be feasibly provided by the central microfilming agency and are necessary to a particular operation within the state agency. Any equipment purchased under this section shall become the property of the administrator.

Please Contact Jeanette Greer at <u>Jeanette.greer@nebraska.gov</u> or call 402-471-2747 if micrographic equipment needs to be purchased. This also includes any trade in of equipment as this becomes a fixed asset of the Secretary of State's office.



# Secretary of State Records Management Newsletter

OCTOBER 28, 2019 VOLUME 3, NUMBER 4



# **Disposition Reports**

Disposition Reports are now available as an online report on the Secretary of State's website!

#### http://www.sos.ne.gov/dyindex.html

This link will take you to the Secretary of State's website. The report is under the purple Records Management tab and listed at the top of the choices in purple as Disposition Report.

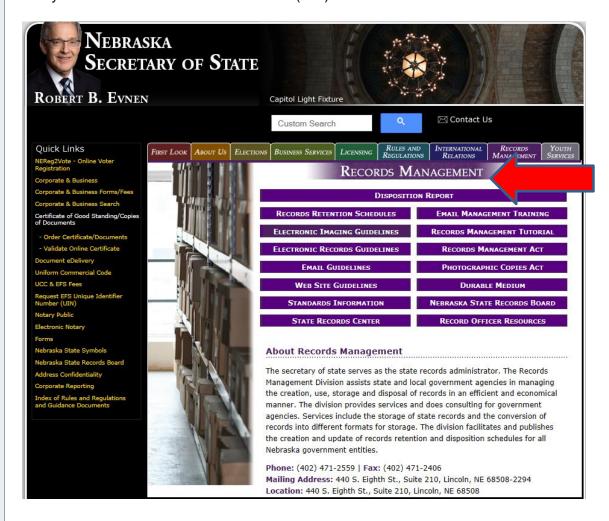
The form is self-explanatory as you fill it out and mirrors the current form. Attachments can be added as needed such as certificates of destructions, etc.

Nebraska revised statute §84-1212.02 requires agencies to report records dispositions on forms provided. Use this online form to meet that requirement.

Please take note when filling out Disposition Reports that after June 30<sup>th</sup> 2019, we will **only** be accepting the electronic filing of this report. After filling out the report, a confirmation email will be received that should be saved electronically for the 10 years of retention. If you choose to print out the report and save in the paper form, it also has a 10 year retention period.

If you have any questions on the online disposition report please feel free to contact:

Jeanette Greer – Records Management Divison Manager (402) 471-2747 Austin Rhodes – RIM Specialist/DISC Scanning Supervisor (402) 471-4184 Tracy Marshall – Adminstrative Assistant (402) 471-2550



# **Conversion Services**

The Records Management division is able to convert paper to electronic images, microform (microfilm, microfiche) to electronic, paper to microfilm, electronic image to microfilm all at low costs to your agency. We have high-speed conversion capability and can export images/data across the network into your current imaging system: OnBase, PaperVision, PDF, or TIFF.

We also offer daily pickup of records to be converted from Lincoln area offices by trained and professional staff. All staff at the Records Center and the Imaging Conversion Center have strict confidentiality standards. Let our staff help you by providing fast, friendly, and free safe transport of local materials to the Records Center.

#### **Boxes**

The State Records Center has limited supply of the sturdy brown boxes for storage. New brown boxes that are double sided on the long side and triple thick on the short side are available for \$2.00. Email the state Records Center at: sos.recordscenter@nebraska.gov for more information.



# **Records Center Storage**



Top 10 Reasons to Use a Centralized Storage Facility

- Protects your Agency Prepares for an emergency and guards against prying eyes and disgruntled employees
- Protects your Employees
   Safeguards HR information and guards against misuse of sensitive information
- Protects your Clients, Patients, and Customers
   Demonstrates a high level of professional care by protecting their vital information
- 4. Saves you Time
  Makes your files accessible by requesting files stored in an organized manner by either courier or scanning and sending electronically

- 5. Saves you Money
  Can be up to 80% less costly than renting more office space
- Safeguards your Information Assets
   Maintains your agencies duty to ensure that information is retrievable, authentic and accurate.
- 7. Aligns you with Best Practices
  Enables your agency to create systems, policies, and procedures that
  have been vetted by records management professionals
- 8. Helps you comply with laws and regulations
  Off-site records storage is often part of compliance with current laws
  including Privacy Act of 1974, eDiscovery, and more
- Helps you Manage Risk
   Assists you in managing your Records Storage needs through the
   demands of regulations and the threat of litigation and eDiscovery.
- 10. Preserves your Organizational Identity and History Helps identify vital records and establish guidelines to maintain documentation of the agencies strategic decisions, research and development, and legal compliance

Top Ten Reasons to use a Records Center|Choi Chow|Dec.01, 2014|Records Storage https://corodata.com/top-10-reasons-to-use-a-records-center

And the very best reasons to use our state run Records Center:

- 1. We are economical
- 2. We track, transport, store and retrieve records for you
- 3. We securely destroy records with your approval according to approved retention schedules
- 4. We are fast, friendly and a lot of fun to be around on a daily basis!!

